

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4659123
<b>Application Number:</b>	10799205
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7411
<b>Title of Invention:</b>	Block trading system and method providing price improvement to aggressive orders
<b>First Named Inventor/Applicant Name:</b>	Henri Waelbroeck
<b>Customer Number:</b>	09629
<b>Filer:</b>	David C. Lee/Gisele Richardson
<b>Filer Authorized By:</b>	David C. Lee
<b>Attorney Docket Number:</b>	061165-5001US
<b>Receipt Date:</b>	22-JAN-2009
<b>Filing Date:</b>	11-MAR-2004
<b>Time Stamp:</b>	16:27:32
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1920
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		61165_5001USReplyOA72208RCE.pdf	840106 b67044f3151aebaae2e0ec0c9dd8a6c359ff16d2	yes	18

### Multipart Description/PDF files in .zip description

Document Description	Start	End
Extension of Time	1	1
Claims	2	6
Applicant Arguments/Remarks Made in an Amendment	7	17
Request for Continued Examination (RCE)	18	18

## Warnings:

## Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	32416 5f09da10812dbdc1307f0a45a83bd46a864a2d1d	no	2
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## Warnings:

## Information:

**Total Files Size (in bytes):** 872522

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### New Applications Under 35 U.S.C. 111

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

### National Stage of an International Application under 35 U.S.C. 371

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

### New International Application Filed with the USPTO as a Receiving Office

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